
Computability and Definability

J.A. Makowsky

Department of Computer Science
Technion - Israel Institute of Technology
Haifa, Israel

janos@cs.technion.ac.il
<http://cs.technion.ac.il/~janos>

Course given the Technion, Haifa, Israel
Winter Semester, 2021/2

<http://www.cs.technion.ac.il/~janos/COURSES/236331-21>

- Location: Taub 8
- Time: Thursday 9:30-12:30 (including Tirgul)
- Office: Taub 628
- Office hours: Thursday 14:00 or by appointment

ZOOM

- makowsky@technion.ac.il is inviting you to a scheduled Zoom meeting.
- Topic: CS 236331
- Time: This is a recurring meeting: Thursday 9:30-12:30
- Join Zoom Meeting at:

<https://technion.zoom.us/j/91510301938>

Course requirements

- Prerequisites are NOT enforced.
Recommended prerequisites: Some familiarity with the concepts of Logic and sets, Automata and formal languages or Computability
- Homework
- Final project

Overview

Part 1: The landscape of logic and graphs

- What we plan to do.
- Some references.
- What we need from Logic.
- Definability in graph theory
- Topological graphs and minor closed classes

Prelude

- Computing devices
- Complexity
- Definability
- Descriptive complexity
- Some references

Computing devices, I

Device: **Input** $\rightarrow$ **Device D** $\rightarrow$ **Output**

Machines: Finite Automaton, Turing Machine (with resource bounds),
Register Machine (with resource bounds),

Circuits: Boolean and Algebraic Circuits

Formulas: Formulas of First Order Logic FOL,
Second Order Logic SOL, Monadic Second Order Logic MSOL,
Fixed Point Logic, Temporal logic, etc

Computing devices, II

Transducer:

In-structure $\rightarrow$ Device T $\rightarrow$ Out-structure

Acceptor:

Input $\rightarrow$ Device A $\rightarrow \{0, 1\}$

Counter:

Input $\rightarrow$ Device C $\rightarrow \mathbb{N}$

Combinatorial problems, I

Acceptors: Deciding properties of a graph
Connected, cycle-free, hamiltonian, 3-colorable

$$\text{Graph} \rightarrow \boxed{\text{Device A}} \rightarrow \{0, 1\}$$

Transducers: Finding configurations in a graph
Connected component, (hamiltonian) cycle, 3-coloring

$$\text{Graph} \rightarrow \boxed{\text{Device T}} \rightarrow \text{Graph}$$

Counters: Counting configurations in a graph
Connected components, (hamiltonian) cycles,

$$\text{Graph} \rightarrow \boxed{\text{Device C}} \rightarrow \mathbb{N}$$

Input for Devices

- For **Finite Automata** and **Turing Machines** the inputs are **coded** as **(finite) words** over some alphabet Σ .
- For **Boolean circuits** the inputs are **coded** as **Boolean vectors** in $\bigcup_n \{0, 1\}^n$.
- For **Algebraic circuitS** over a **field** or **ring** $\mathcal{R}$, the inputs are **coded** as **vectors** over $\bigcup_n \mathcal{R}^n$.
- For **Register Machines** we may have **specialized registers** for **specific data types**, including words, natural numbers, real numbers, finite relations, etc.....

Back to Prelude

Complexity theory, I

Each machine type uses resources:

- Computing time
- Number of gates
- Space on tape
- Number of auxiliary registers
- Content size of registers

Complexity theory, II

Computability: There is a machine which solves the problem.

Complexity: There is a machine which solves the problem
with prescribed resources.

Machine classes: Deterministic Finite Automata,
Non-deterministic Finite Automata,
Pushdown Automata, Weighted Automata

Deterministic Complexity classes: $\text{Time}(f(n))$, $\text{Space}(f(n))$,
 $\text{PTime} = \mathbf{P}$, $\text{LogSpace} = \mathbf{L}$, PSpace .

Non-deterministic Complexity classes: $\text{NTime}(f(n))$, $\text{NSpace}(f(n))$,
For $f(n)$ a polynomial n^c : $\text{NPTIME} = \mathbf{NP}$, $\text{NLogSpace} = \mathbf{NL}$, NPSpace .

$$\mathbf{L} \subseteq \mathbf{NL} = \mathbf{CoNL} \subseteq \mathbf{P} \subseteq \mathbf{NP} \subseteq \mathbf{PH} \subseteq \mathbf{PSpace} \subseteq \mathbf{ExpTime}$$

Complexity theory, III

Upper bounds: Problem $\mathcal{P}$ **can** be solved in the prescribed resource bounds.

Lower bounds: Problem $\mathcal{P}$ **cannot** be solved in the prescribed resource bounds.

Relative bounds: Problem $\mathcal{P}$ needs **at least/most** the amount of resources as problem $\mathcal{P}'$.

Back to Prelude

Definability, I

We specify a **problem** (a set of instances) in a **formal language**.

Formal languages can be

- **Regular expressions** for sets of words.

The words over $\{a, b\}$ where all the a 's come before the b 's.

- **First order logic FOL** for sets of graphs.

The regular graphs of degree 5.

- **Second order logic SOL** for sets of graphs.

The connected graphs.

- **Temporal logic** for behaviour of programs.

Inputs on which the program terminates.

Definability, II

A problem $\mathcal{P}$ is **definable** in a formal language $\mathcal{L}$ if there is an expression (a formula) of $\mathcal{L}$ which characterizes exactly the instances of $\mathcal{P}$.

Definable in $\mathcal{L}$: **Connectivity** of graphs is **definable** in Monadic Second Order Logic MSOL.

Non-definable in $\mathcal{L}$: **Connectivity** of graphs is **not definable** in First Order Logic FOL.

Relative-definable in $\mathcal{L}$: A graph is **Eulerian** in any logic $\mathcal{L}$ where being of **even cardinality** is definable.

Definability, III

How do we prove **definability** in a given logic $\mathcal{L}$?

- We translate the set theoretic concept directly into the logic.

A graph has no edges.

- We first translate the set theoretic concept $\mathcal{C}$ into another concept $\mathcal{C}'$ and prove their equivalence.

A graph is Eulerian iff it is connected and each vertex has even degree.

This may be a (difficult) theorem of mathematics. .

Then we translate $\mathcal{C}'$ into $\mathcal{L}$.

How do we prove **non-definability** in a given logic $\mathcal{L}$?

- **We have to develop special tools!**

Back to Prelude

[File:prelude.tex](#)

Descriptive Complexity

We are looking for theorems of the form:

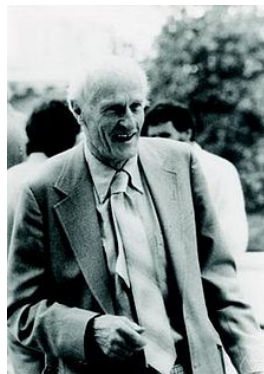
A class of objects $\mathcal{O}$ is
computable with specific resource bounds
iff
it is definable in a specific logic $\mathcal{L}$.

The first theorem of this form was discovered during World War II independently in the USA (by [S. Kleene](#)) and in Poland (by [A. Mostowski](#)).

The Kleene-Mostowski Theorem (1943, 1947)

A set $A \subset \mathbb{N}$ of natural numbers is **recursively enumerable** (or equivalently **semi-computable** by a Turing machine) iff A is **definable** in the arithmetic structure of the natural numbers $\langle \mathbb{N}, +, \times, <, 0, 1 \rangle$ by a Σ_1^0 formula.

Σ_0^0 formulas are FOL formulas with only bounded quantifiers $\exists x < t, \forall x < t$. Σ_1^0 formulas are FOL formulas of the form $\exists x \phi(x)$ where $\phi \in \Sigma_0^0$.



S. Kleene



A. Mostowski

The Büchi-Elgot-Trakhtenbrot Theorem (1958, 1960)

A **language** (set of words) is recognizable by a **Finite Automaton** iff it is definable in (existential) **Monadic Second Order Logic**.



R. Büchi



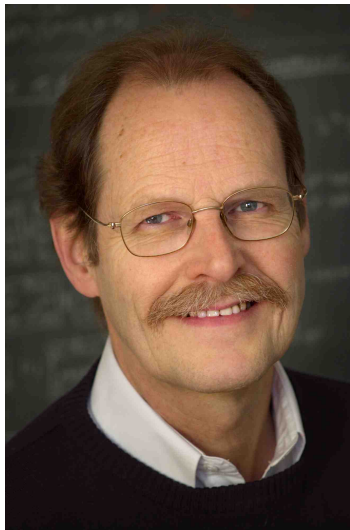
C. Elgot



B. Trakhtenbrot

The Jones-Selman-Fagin Theorem (1974)

A **language** (set of words) is recognizable by a **non-deterministic Turing machine** in **polynomial time** iff it is definable in **existential Second Order Logic**.



. N. Jones



A. Selman



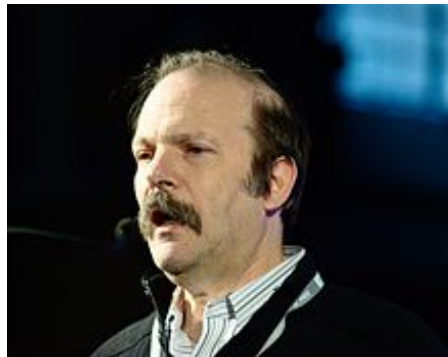
R. Fagin

The Immerman-Vardi-Grädel Theorem (1980, 1991)

A language (set of words) is recognizable by a **deterministic Turing machine** in **polynomial time** iff it is definable in **existential Second Order Logic with Horn formulas**.



N. Immerman



M. Vardi



E. Grädel

[Back to Prelude](#) [Back to overview](#)

[File:prelude.tex](#)

Existence Theorems

- A language L is recognizable by a Turing machine in $\mathcal{T}$ is an existence statement:

There is a Turing machine $M \in \mathcal{T}$ which recognizes L .

- A language L is definable in a logic $\mathcal{L}$ is an existence statement:

There is a formula $\phi \in \mathcal{L}$ which defines L .

- The statement:

A language L is recognizable by a Turing machine in $\mathcal{T}$
iff L is definable by a formula in $\mathcal{L}$

does **not** give a particular formula or Turing machine.

It only says that they exist.

References: Textbooks

- [Pa94] C. Papadimitriou,
Computational Complexity,
Addison-Wesley 1994
- [EF95] H.-D. Ebbinghaus and Jörg Flum,
Finite Model Theory,
Perspectives in Mathematical Logic,
Springer 1995, ISBN 3-540-60149-X
- [Li04] L. Libkin,
Elements of Finite Model Theory, Springer, 2004
- [Bo99] B. Bollobas,
Modern Graph Theory,
Graduate Texts in Mathematics, Springer 1999.
- [Di97] R. Diestel,
Graph Theory,
Graduate Texts in Mathematics, Springer 1997.

References: Interactive Resources

[ISGCI] Information System on Graph Classes and their Inclusions
<https://www.graphclasses.org/>

References: Further Literature

[DF99] R.G. Downey and M.R. Fellows,
Parametrized Complexity,
Monographs in Computer Science,
Springer 1999, ISBN 0-387-94883-X

[BCSS98] L. Blum, F. Cucker, M. Shub and S. Smale,
Complexity and Real Computation,
Springer 1998, ISBN 0-387-98281-7

[Back to overview](#)

Logics, a reminder

We define logics.

- Vocabularies: The [basic relations](#)
- Structures: [Interpretations of vocabularies](#)
- Variables: Individual variables, relation variables, function variables
- Atomic formulas
- Boolean closures
- Quantifications

Vocabularies

A **vocabulary** is a (finite) set of **basic symbols**.

We deal with (possibly **many-sorted**) relational vocabularies.
The basic symbols are **sorts symbols** and **relation symbols**.

Sort symbols: $U_\alpha : \alpha \in \mathbb{N}$

Relation symbols: $R_{i,\alpha} : i \in \text{Ar}, \alpha \in \mathbb{N}$ where Ar is a set of **arities**, i.e. of **finite sequences** of sort symbols.

Constant symbols: $c_{\alpha,\beta}$ for $\alpha, \beta \in \mathbb{N}$, where α indicates the sort number.

In the case of one-sorted vocabularies, the arity is just of the form $\underbrace{\langle U, U, \dots, U \rangle}_n$ which will be denoted by n .

Vocabularies are denoted by greek letters $\tau, \sigma, \tau_i, \sigma_i$ with $i \in \mathbb{N}$.

τ -structures, I

τ -structures are **interpretations of vocabularies**.

More precisely, a τ -structure is a function assigning subsets of cartesian products of a fixed set A to each symbol.

$$\mathfrak{A} : \tau \rightarrow A \cup \bigcup_{n=1}^{\infty} \wp(A^n)$$

with the following restrictions:

- $\mathfrak{A}(U_\alpha) = A_\alpha \subseteq A$
- $\mathfrak{A}(U_\alpha) \cap \mathfrak{A}(U_\beta) = \emptyset$ for $\alpha \neq \beta$
- If $i = \langle U_{\alpha_1}, \dots, U_{\alpha_k} \rangle$ is the arity of $R_{i,\alpha}$ then $\mathfrak{A}(R_{i,\alpha}) \subseteq A_{\alpha_1} \times \dots \times A_{\alpha_k}$
- $\mathfrak{A}(c_{\alpha,\beta}) \in A_\alpha$.

τ -structures, II: Graphs and hypergraphs

Graphs and digraphs: $\tau_{graph} = \{U_1, R_{2,1}\}$.

The elements of the set $\mathfrak{A}(U_1) = V$ are called **vertices**. The subset $\mathfrak{A}(R_{2,1}) = E \subseteq V^2$ is called the **(directed) edge relation**.

If E is symmetric, the τ -structures is an **undirected graph**, otherwise it is a **directed graph (aka digraph)**.

If $(u, u) \in E$ the vertex u has a **loop**.

Hypergraphs: $\tau_{hgraph} = \{U_1, U_2, R_{\langle 1,2 \rangle, 1}\}$

The elements of the set $\mathfrak{A}(U_1) = V$ are called **vertices**.

The elements of the set $\mathfrak{A}(U_2) = E$ are called **edges**.

The subset $\mathfrak{A}(R_{\langle 1,2 \rangle, 1}) \subseteq V \times E$ is called the **undirected incidence relation**.

Directed hypergraphs: $\tau_{hgraph} = \{U_1, U_2, R_{\langle 1,2,1 \rangle, 1}\}$

The elements of the set $\mathfrak{A}(U_1) = V$ are called **vertices**.

The elements of the set $\mathfrak{A}(U_2) = E$ are called **edges**.

The subset $\mathfrak{A}(R_{\langle 1,2,1 \rangle, 1}) \subseteq V \times E \times V$ is called the **directed incidence relation**.

τ -structures, III: Labeled graphs and words

Vertex labeled Graphs: Graphs with ℓ -many **vertex labels**, $\ell \in \mathbb{N}$:

$$\tau_{lgraph} = \{U_1, R_{2,1}, P_1, \dots, P_\ell\},$$

like graphs but with unary predicates P_i for **vertex labels**.

Edge labeled Graphs: Graphs with ℓ -many **edge labels**, $\ell \in \mathbb{N}$:

$$\tau_{lgraph} = \{U_1, R_{2,i}\} \text{ with } i = 1, \dots, \ell,$$

like graphs but with ℓ -many edge relations for **edge labels**.

Words in Σ^* : Let Σ be a finite alphabet (set).

$$\tau_{word} = \{U_1, R_{2,1}, R_{1,a}\}, \quad a \in \Sigma, \text{ where}$$

$\mathfrak{A}(R_{2,1})$ is a **linear order**, and

$\mathfrak{A}(R_{1,a}) \cap \mathfrak{A}(R_{1,b}) = \emptyset$ for $a, b \in \Sigma, a \neq b$, and $\bigcup_{a \in \Sigma} \mathfrak{A}(R_{1,a}) = \mathfrak{A}(U_1)$.

τ_{word} -structures satisfying these conditions are **words in Σ^*** .

Empty structures

In **logic** and **universal algebra** a τ -structure $\mathfrak{A}$ is **non-empty**, i.e., for at least one sort symbol $U_\alpha \in \tau$ the set $\mathfrak{A}(U_\alpha) \neq \emptyset$.

We do not allow empty structures!

The reason for not allowing empty structures is the axiomatization of First Order Logic FOL. The axiom

$$\forall x P(x) \Rightarrow \exists x P(x)$$

only holds in **non-empty one-sorted** τ -structures.

Making structures one-sorted

We can always make τ -structures into **one-sorted** τ' -structures:

- We replace the sorts $U_\alpha \in \tau$ by one sort $V \in \tau'$.
- We add for each sort $U_\alpha \in \tau$ a unary relation symbol $P_\alpha \in \tau'$.
- We replace each $R_{(\alpha_1, \dots, \alpha_m), i} \in \tau$ by $R_{m, i} \in \tau'$. Constant symbols remain the same.

We then make a τ -structure $\mathfrak{A}$ into a τ' -structure $\mathfrak{A}'$ by setting

- $\mathfrak{A}'(V) = \bigcup_{U_\alpha \in \tau} \mathfrak{A}(U_\alpha)$, and
- $\mathfrak{A}'(P_\alpha) = \mathfrak{A}(U_\alpha)$
- $\mathfrak{A}(R_{(\alpha_1, \dots, \alpha_m), i}) = \mathfrak{A}'(R_{m, i})$

Isomorphisms and homomorphisms of τ -structures

Let $\mathfrak{A}$ and $\mathfrak{B}$ be two τ -structures on sets $A = \bigcup_{\alpha, U_\alpha \in \tau} \mathfrak{A}(U_\alpha)$ and $B = \bigcup_{\alpha, U_\alpha \in \tau} \mathfrak{B}(U_\alpha)$ respectively. Let $f : A \rightarrow B$ a function. f is a τ -homomorphism if

- For all $U_\alpha \in \tau$ we have:
 $a \in \mathfrak{A}(U_\alpha)$ iff $f(a) \in \mathfrak{B}(U_\alpha)$.
- For all $R_{(\alpha_1, \dots, \alpha_m), i} \in \tau$ we have:
 $(a_1, \dots, a_m) \in \mathfrak{A}(R_{(\alpha_1, \dots, \alpha_m), i})$ iff $(f(a_1), \dots, f(a_m)) \in \mathfrak{B}(R_{(\alpha_1, \dots, \alpha_m), i})$.
- For all $c_\alpha \in \tau$ we have:
 $f(\mathfrak{A}(c_\alpha)) = \mathfrak{B}(c_\alpha)$.

f is a τ -isomorphism if additionally f is one-one and onto.

$\mathfrak{A}$ and $\mathfrak{B}$ are τ -isomorphic if there is a τ -isomorphism $f : A \rightarrow B$.

τ -substructures

Let $\mathfrak{A}$ and $\mathfrak{B}$ be two τ -structures on sets $A = \bigcup_{\alpha, U_\alpha \in \tau} \mathfrak{A}(U_\alpha)$ and $B = \bigcup_{\alpha, U_\alpha \in \tau} \mathfrak{B}(U_\alpha)$ respectively.

$\mathfrak{A}$ is isomorphic to a **substructure** of $\mathfrak{B}$ if there is a function $f : A \rightarrow B$ such that:

- f is one-one.
- For all $U_\alpha \in \tau$ we have:
If $a \in \mathfrak{A}(U_\alpha)$ then $f(a) \in \mathfrak{B}(U_\alpha)$.
- For all $R_{(\alpha_1, \dots, \alpha_m), i} \in \tau$ we have:
If $(a_1, \dots, a_m) \in A^m$ then
 $(a_1, \dots, a_m) \in \mathfrak{A}(R_{(\alpha_1, \dots, \alpha_m), i})$ iff $(f(a_1), \dots, f(a_m)) \in \mathfrak{B}(R_{(\alpha_1, \dots, \alpha_m), i})$.
- For all $c_\alpha \in \tau$ we have:
 $f(\mathfrak{A}(c_\alpha)) = \mathfrak{B}(c_\alpha)$.

If f is the identity, we say $\mathfrak{A}$ is a **substructure** of $\mathfrak{B}$.

Subgraphs and induced subgraphs

In graph theory an undirected graph G **without multiple edges** is given by two sets $V(G)$ and $E(G)$ with $E(G) \subseteq V(G)^{(2)}$.

Let G, H be two graphs.

Subgraph: H is a **subgraph** of G if $V(H) \subseteq V(G)$ and $E(H) \subseteq V(H)^2 \cap E(G)$.

This corresponds to the notion of **substructure** for graphs viewed as **hypergraphs**. i.e., τ -structures for $\tau = \tau_{hgraph}$

Induced subgraph: H is an **induced subgraph** of G if $V(H) \subseteq V(G)$ and $E(H) = V(H)^{(2)} \cap E(G)$.

This corresponds to the notion of **substructure** for graphs viewed as **graphs**, i.e., τ -structures for $\tau = \tau_{graph}$

Isomorphisms: H and G are isomorphic as τ_{graph} -structures iff they are isomorphic as τ_{hgraph} -structures.

Properties of a τ -structure

A **property** of τ -structures is a class $\mathcal{P}$ of τ -structures **closed under τ -isomorphisms**.

Examples:

- All *finite* τ -structures.
- All $\{R_{2,0}\}$ -structures where $R_{2,0}$ is interpreted as a linear order.
- All finite 3-dimensional matchings $3DM$, i.e. all $\{R_{3,0}\}$ -structures with universe A where the interpretation of $R_{3,0}$ contains a subset $M \subseteq A^3$ such that no two triples of M agree in any coordinate.
- All binary words which are palindroms.

We say a τ -structure $\mathcal{A}$ **has property $\mathcal{P}$** iff $\mathcal{A} \in \mathcal{P}$.

First Order Logic FOL

We now assume our vocabularies are **one-sorted** with sort symbol V .

We define the set of formulas $\text{FOL}(\tau)$:

Variables: $u, v, w, \dots$ ranging over elements of the interpretation of V .

Terms: Variables and constant symbols in τ are τ -terms.

Atomic formulas: For each $R_{m,j} \in \tau$ and τ -terms $t_1, \dots, t_m$ the expressions $R_{m,j}(t_1, \dots, t_m)$, $t_1 = t_2$ are atomic formulas in $\text{FOL}(\tau)$.

Boolean connectives: If ϕ and ψ are in $\text{FOL}(\tau)$, so are $\phi \wedge \psi$, $\phi \vee \psi$, $\phi \Rightarrow \psi$ and $\neg\phi$.

Quantifiers: If ϕ is in $\text{FOL}(\tau)$ and v is a variable, then $\exists v\phi$ and $\forall v\phi$ are in $\text{FOL}(\tau)$.

Second Order Logic SOL

We now define $\text{SOL}(\tau)$, the set of SOL-formulas for a vocabulary τ :

FOL : $\text{FOL}(\tau) \subseteq \text{SOL}(\tau)$ and $\text{SOL}(\tau)$ is closed under boolean connectives and first order quantification.

Second order variables: For each $m, j \in \mathbb{N} - \{0\}$ we have second order variables $X_{m,j}$ of arity m .

For each $X_{m,j}$ a second order variable, and τ -terms $t_1, \dots, t_m$ the expression $X_{m,j}(t_1, \dots, t_m)$, is an atomic formulas in $\text{SOL}(\tau)$.

Second order quantification: If $\phi \in \text{SOL}(\tau)$ so are $\forall X_{m,j} \phi$ and $\exists X_{m,j} \phi$.

Monadic Second Order formulas $\text{MSOL}(\tau)$ are those where for the arity m of the second order variables we have $m = 1$.

Analogously, $\text{SOL}^n(\tau)$ is obtained by restricting the arity m of the second order variables to $m \leq n$.

Back to overview

Definability in graph theory

In this course we look at (labeled) graphs and other relational structures.

- Graph properties are classes of graphs closed under graph isomorphism.
- Graph parameters are functions of graphs invariant under graph isomorphism with values in some domain, usually a ring or semi-ring such as the natural numbers $\mathbb{N}$ or the integers $\mathbb{Z}$ or the reals $\mathbb{R}$, or a polynomial ring in several indeterminates.

Second Order Logic (SOL)

- Second Order Logic is the **natural language** to talk about **graph properties**.
We shall show this **informally** and only after that define the **syntax** and **semantic** of SOL.
- We shall see we can also use SOL to define **graph parameters**.

Second Order Logic SOL and some of its fragments.

Atomic formulas for **graphs** are $E(u, v)$ and $u = v$ for individual variables u, v , and $R(u_1, \dots, u_m)$ for m -ary relation variables R .

- First Order Logic FOL:

Closed under boolean operations and quantification over individual variables. No relation variables.

- Second order Logic SOL:

Closed under boolean operations and quantification over individual and relation variables of arbitrary but fixed arity.

- Monadic Second order Logic MSOL:

Closed under boolean operations and quantification over individual and unary relation variables.

Concrete graphs (in $\mathbb{R}^3$)

A **concrete** graph G is given by

- a finite set of **points** V in $\mathbb{R}^3$, and
- a finite set E of **ropes** linking two points v_1, v_2 .

The **ropes** are **continuous curves** which **do not intersect**.

Without loss of generality we can take the points also in $\mathbb{R}^m$ for $m \geq 3$.

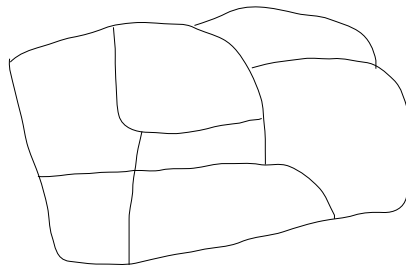
The **ropes** are called **arcs**.

Plane graphs

A **plane** graph G is given by

- a finite set of **points** V in $\mathbb{R}^2$, and
- finite set E of **arcs** linking two points v_1, v_2 .

The arcs are **continuous curves** which do not intersect.



All intersection points in the drawing are points of the graph!

Abstract graphs

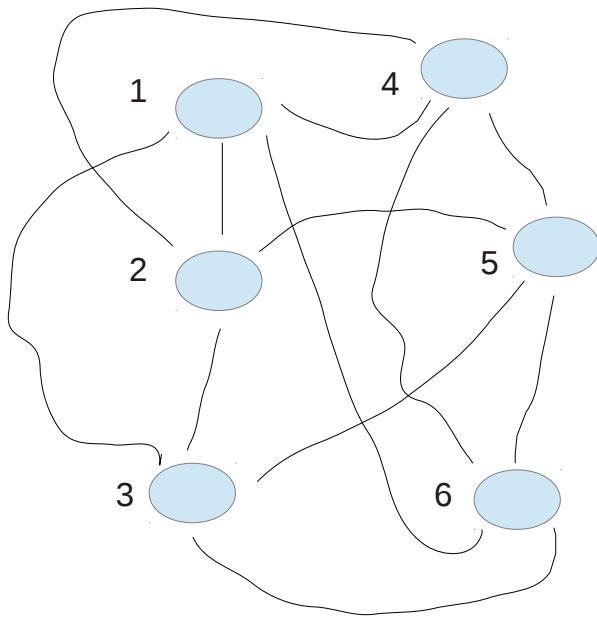
An **abstract** graph $G = (V(G), E(G))$ is given by

- a finite set of **vertices** $V = V(G)$, and
- a finite set $E = E(G)$ of **edges** linking two vertices v_1, v_2 .

Here $E \subseteq V^{(2)}$ where $V^{(2)}$ denotes the set of **unordered pairs** of elements of V .

$$V = \{1, \dots, 6\}$$

$$E = \left\{ \begin{array}{l} \{(1, 2), (2, 3), (3, 1)\} \cup \\ \{(4, 5), (5, 6), (6, 4)\} \cup \\ \{(1, 6), (6, 3), (3, 5), (5, 2), (2, 4), (4, 1)\} \end{array} \right\}$$



Graph isomorphism and subgraphs

Two graphs $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ are **isomorphic** if there is a function $f : V_1 \rightarrow V_2$ such that

- f is bijective (one-one and onto), and
- $(u, v) \in E_1$ iff $(f(u), f(v)) \in E_2$.

$G_1 = (V_1, E_1)$ is a **subgraph** of $G_2 = (V_2, E_2)$ if $V_1 \subseteq V_2$ and $E_1 \subseteq E_2$.

$G_1 = (V_1, E_1)$ is an **induced subgraph** of $G_2 = (V_2, E_2)$ if $V_1 \subseteq V_2$ and for all $(u, v) \in V_1^{(2)} \cap E_2$ we also have $(u, v) \in E_1$.

$G_1 = (V_1, E_1)$ is a **spanning subgraph** of $G_2 = (V_2, E_2)$

if $E_1 \subseteq E_2$ and for all $u \in V_2$

$(u \in V_1$ iff there is $v \in V_2$ with $(u, v) \in E_1)$.

Two isomorphic graphs

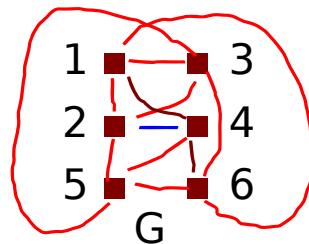
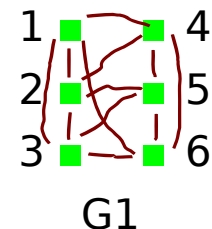
$$V_1 = V_2 = \{1, \dots, 6\}$$

$$E_1 = \left\{ \{(1, 2), (2, 3), (3, 1), (4, 5), (5, 6), (6, 4)\} \cup \{(1, 6), (6, 3), (3, 5), (5, 2), (2, 4), (4, 1)\} \right\}$$

$$E_2 = \left\{ \{(1, 4), (4, 3), (3, 1), (5, 2), (2, 6), (6, 5)\} \cup \{(1, 6), (6, 3), (3, 2), (2, 4), (4, 5), (5, 1)\} \right\}$$

G_1 and G_2 are isomorphic with

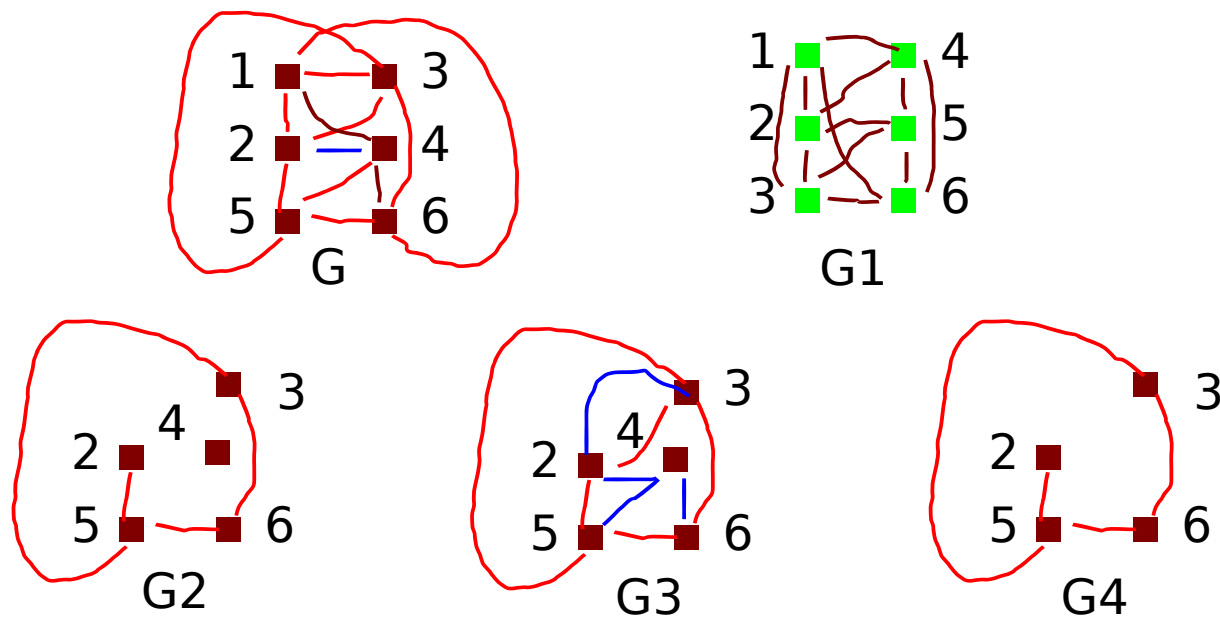
$$f(1) = 1, f(2) = 4, f(3) = 3, f(4) = 5, f(5) = 2, f(6) = 6.$$



G_1 is isomorphic to G .

G_2 is a subgraph of G , but not an induced subgraph.

G_3 is an induced subgraph and G_4 is a spanning subgraph of G .



Some graph properties: Regularity

A graph G is (give definition in SOL):

- of degree bounded by $d \in \mathbb{N}$.

Every vertex has at most d neighbors.

- k -regular ($k \in \mathbb{N}$)

Every vertex has exactly k neighbors.

- regular

Every vertex has exactly the same number of neighbors.

- Regular and degree bounded by d .

Definable in First Order Logic FOL

- The vertices $v_0, v_1, \dots, v_n$ are all different:

$$\text{Diff}_{n+1}(v_0, v_1, \dots, v_n) : \left(\bigwedge_{i=0, j=1, i < j}^{i, j \leq n} v_i \neq v_j \right)$$

- A vertex v_0 has degree at most d :

$$\text{Deg}_{\leq d}(v_0) : \forall v_1, \dots, v_d, v_{d+1} \left(\bigwedge_{i=0}^{d+1} E(v_0, v_i) \rightarrow \bigvee_{i=0, j=0, i \neq j}^{i=d+1, j=d+1} v_i = v_j \right)$$

- A vertex v_0 has degree at least d :

$$\text{Deg}_{\geq d}(v_0) : \exists v_1, \dots, v_d \left(\text{Diff}_d(v_1, \dots, v_d) \wedge \bigwedge_{i=1}^d E(v_0, v_i) \right)$$

Regularity definable in

The following graph properties are definable in FOL (use previous slide):

- k -regular;
- regular and of bounded degree d ;

The following are **not** definable in FOL (nor in Monadic Second order Logic MSOL):

- regular;
- each vertex has even degree.

To show non-definability in FOL we need the machinery of Ehrenfeucht-Fraïssé Games or Connection matrices.

Regularity definable in

The following are definable in SOL:

- Two sets $A, B \subseteq V$ have the same size:

$$\text{EQS}(A, B) : \exists R (\text{Funct}(R, A, B) \wedge \text{Inj}(R) \wedge \text{Surj}(R))$$

where $\text{Funct}(R, A, B)$, $\text{Inj}(R)$, $\text{Surj}(R)$ are FOL-formulas saying that R is a function from A to B which is one-one (injective) and onto (surjective).

- A vertex v has even degree:

The set of neighbors of v can be partitioned into two sets of equal size

$$\text{EDeg}(v_0) : \exists A, B (\text{Part}(N_v, A, B) \wedge \text{EQS}(A, B))$$

- Two vertices u, v have the same degree:

The set of neighbors N_u, N_v of u and v have the same size.

$$\text{SDeg}(u, v) : \text{EQS}(N_u, N_v)$$

Homework 1

Characterize the following graph properties using $\text{Forb}_{\text{sub}}(\mathcal{H})$ or $\text{Forb}_{\text{ind}}(\mathcal{H})$, and determine their definability in FOL and SOL.

- Forests
- Cliques
- Find other examples! You may consult:

```
@BOOK(bk:BrandstaedtLeSpinrad,  
AUTHOR      = {A. Brandst\"adt and V.B. Le and J. Spinrad},  
TITLE       = {Graph Classes: A survey},  
PUBLISHER    = {{SIAM} },  
SERIES      = {{SIAM} Monographs on Discrete Mathematics and Applications},  
YEAR        = {1999})
```

or: Information System on Graph Classes and their Inclusions
<https://www.graphclasses.org/>

Some graph properties: Colorability

Let $\mathcal{P}$ be a graph property. A graph G is (*give definition in SOL, MSOL*):

- **3-colorable:**

The vertices of G can be partitioned into three disjoint sets $C_i : i = 1, 2, 3$ such that the induced graphs $G[C_i]$ consist only of isolated points.

This can be expressed in MSOL.

- **k - $\mathcal{P}$ -colorable ($k \in \mathbb{N}$):**

The vertices of G can be partitioned into k disjoint sets $C_i : i = 1, \dots, k$ such that the induced graphs $G[C_i]$ are in $\mathcal{P}$.

If $\mathcal{P}$ is definable in SOL (MSOL), this is also definable in SOL (MSOL).

- **$\mathcal{P}$ -colorable:**

The vertices of G can be partitioned into disjoint sets $C_i : i \in I \subset \mathbb{N}$ such that the induced graphs $G[C_i]$ are in $\mathcal{P}$.

This is definable in SOL provided $\mathcal{P}$ is. It is not MSOL-definable.

k -colorable graphs

A subset V_1 of a graph $G = (V, E)$ is **independent** if it induces a graph of isolated points (without neighbors nor loops).

A graph is **k -colorable** if its vertices can be partitioned into k independent sets.

$\text{Part}(X_1, X_2, X_3) :$

$$((X_1 \cup X_2 \cup X_3 = V) \wedge ((X_1 \cap X_2) = (X_2 \cap X_3) = (X_3 \cap X_1) = \emptyset))$$

$\text{Ind}(X) :$

$$(\forall v_1 \in X)(\forall v_2 \in X) \neg E(v_1, v_2)$$

With this 3-colorable can be expressed as

$$\exists C_1 \exists C_2 \exists C_3 (\text{Part}(C_1, C_2, C_3) \wedge \text{Ind}(C_1) \wedge \text{Ind}(C_2) \wedge \text{Ind}(C_3))$$

We have expressed 3-colorability by a formula in **Monadic Second Order Logic**.

Question: Can we express this in First Order Logic ?

END of Session 1 (2021)

Session 2 (2021)

G contains a subgraph isomorphic to H

Let $H = (V(H), E(H))$ where we assume that the order of H is n and

$$V(H) = \{1, 2, \dots, n\} = [n]$$

and $E(H)$ is a subset of $[n]^2$

$$G \models \exists v_1, \dots, v_n \left(\bigwedge_{(i,j) \in E(H)} E(v_i, v_j) \right)$$

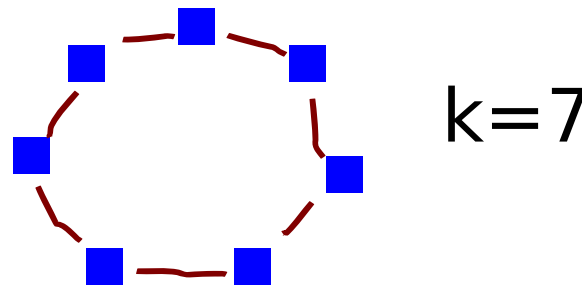
says that G contains an isomorphic copy of H as a **subgraph**.

$$G \models \exists v_1, \dots, v_n \left(\bigwedge_{(i,j) \in E(H)} E(v_i, v_j) \wedge \bigwedge_{(i,j) \notin E(H)} \neg E(v_i, v_j) \right)$$

says that G contains an isomorphic copy of H as an **induced subgraph**.

Some graph properties: Chordality

A graph is a **simple cycle of length k** if it is of the form:



A graph is a simple cycle iff it is **connected** and **2-regular**.

A graph G is **chordal** or **triangulated** if there is no induced subgraph of G isomorphic to a simple cycle of length ≥ 4 .

Exercise: Find a MSOL-expression for chordality.

Chordal graphs (solution to exercise)

$\phi_{cycle(>4)}$ says: G has an induced cycle of order at least 4.

- $\phi_{>4}(C)$: $C \subseteq V(G)$ has at least 4 vertices.
- $\phi_{conn}(C)$: $C \subseteq V(G)$ induces a connected graph.
- $\phi_{reg-2}(C)$: $C \subseteq V(G)$ induces a regular graph of degree 2.
- $\phi_{cycle(>4)}$ says: $\exists C (\phi_{>4}(C) \wedge \phi_{conn}(C) \wedge \phi_{reg-2}(C))$

Now **chordal** can be expressed as

$$\neg \exists C (\phi_{>4}(C) \wedge \phi_{conn}(C) \wedge \phi_{reg-2}(C))$$

Some graph properties: Eulerian and Hamiltonian

A graph G is (*give definition in SOL*):

- **Eulerian:**

We can follow each edge exactly once, pass through all the edges, and return to the point of departure.

Theorem (Euler): A graph is Eulerian iff it is connected and each vertex has even degree.

- **Hamiltonian:**

We can follow the edges visiting each vertex exactly once, and return to the point of departure.

Eulerian graphs

A graph $G = (V, E)$ is **Eulerian** if we can follow each edge exactly once, pass through all the edges, and return to the point of departure.

Equivalently:

Can we order all the edges of E

$$e_1, e_2, e_3, \dots, e_m$$

and choose beginning and end of th edge $e_i = (u_i, v_i)$ such that for all i , $v_i = u_{i+1}$ and $v_m = u_1$.

$$\begin{aligned} &\exists R (\text{LinOrd}(R, E) \wedge \\ &(\forall u, v, u', v' \text{First}(R, u, v) \wedge \text{Last}(R, u', v') \rightarrow u = v') \wedge \\ &(\forall u, v, u', v' \text{Next}(R, u, v, u'v') \rightarrow v = u')) \end{aligned}$$

whith the obvious meaning of $\text{LinOrd}(R, E)$, $\text{First}(R, u, v)$ and $\text{Last}(u, v)$.

Alternatively, we can use **Euler's Theorem**.

As we shall see later, it **cannot** be expressed in MSOL.

Hamiltonian graphs

We note: A graph with n vertices is Hamiltonian iff it contains a spanning subgraph which is a cycle of size n .

We define formulas:

$\text{Conn}(V_1, E_1)$: (V_1, E_1) is connected.

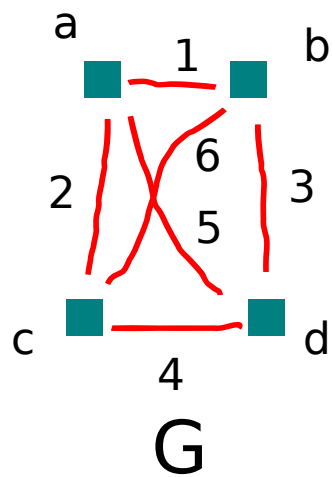
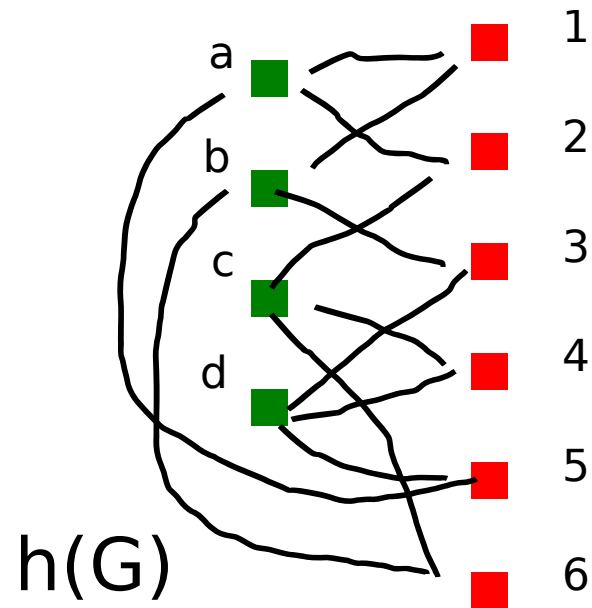
$\text{Cycle}(V_1, E_1)$: (V_1, E_1) is a cycle, i.e., regular of degree 2 and connected.

$\text{Ham}(V, E) : \exists V_1 \exists E_1 (\text{Cycle}(V_1, E_1) \wedge E_1 \subseteq E \wedge V_1 = V)$

A subtle point: Graphs vs hypergraphs, I

- **Graphs** are structures with universe V of vertices, and a binary edge relation E .
There can be at most one edge between two vertices.
- **Hypergraphs** have as their universe two disjoint sets V and E and an incidence (hyperedge) relation $R(u, v, e)$.
There can be many edges between two vertices.
- In both cases the relations are symmetric in the vertices.
- A Graph G can be viewed as hypergraph (h-graph) $h(G)$ where there is at most one edge (up to symmetry) between two vertices.
- There is a one-one correspondence between graph and h-graphs.

G and $h(G)$



A subtle point: Graphs vs hypergraphs, II

- FOL and SOL are **equally expressive** on graphs and h-graphs.
- MSOL is **more expressive** on h-graphs than on graphs.
Hamiltonicity is **not definable** in MSOL on graphs, but **is definable** on h-graphs.

We shall discuss this in detail in a **later lecture**.

Closure properties of graph classes.

A graph property is called

- **hereditary** if it is closed under **induced subgraphs**.
- **monotone** if it is closed under **subgraphs**, not necessarily induced.
- **monotone decreasing** if it is closed under **deletion of edges**, but not necessarily of vertices.
- **monotone increasing** if it is closed under **addition of edges**, but not necessarily of vertices.
- **additive** if it is closed under **disjoint unions**.

Note that **monotone** implies **hereditary** and **monotone decreasing**.

Examples for the closure properties

- d -regular graphs are only additive.
- Graphs of bounded degree d are monotone and additive.
- Cliques (complete graphs) are hereditary but not monotone.
- Connectivity is only monotone increasing.
- **Exercise:** Check the above closure properties of graph properties for your favorite graph properties.
- **Exercise:** Check the above closure properties of all the graph properties discussed in the [sequel of this course](#).

Forbidden (induced) subgraphs

Let $\mathcal{H} = \{H_i : i \in I\}$ be a family of graphs.

- We denote by $\text{Forb}_{\text{sub}}(\mathcal{H})$ ($\text{Forb}_{\text{ind}}(\mathcal{H})$) the class of graphs G which have no (induced) subgraph isomorphic to some graph $H \in \mathcal{H}$.
- $\text{Forb}_{\text{sub}}(\mathcal{H})$ is monotone and $\text{Forb}_{\text{ind}}(\mathcal{H})$ is hereditary.

Theorem: (**Exercise**)

Let $\mathcal{P}$ be a monotone (hereditary) graph property. Then there exists a family $\mathcal{H} = \{H_i : i \in I\}$ of finite graphs such that $\mathcal{P} = \text{Forb}_{\text{sub}}(\mathcal{H})$ (respectively $\mathcal{P} = \text{Forb}_{\text{ind}}(\mathcal{H})$).

Proof

Let $\mathcal{P}$ be a monotone (hereditary) graph property.

- If $G \notin \mathcal{P}$ and G is a subgraph (induced subgraph) of G_1 , so $G_1 \notin \mathcal{P}$.
- Define $\mathcal{H}$ such that $G \in \mathcal{H}$ iff $G \notin \mathcal{P}$ but all subgraphs (induced subgraphs) of G are in $\mathcal{P}$.
- Now $\mathcal{P} = \text{Forb}_{\text{sub}}(\mathcal{H})$, respectively $\mathcal{P} = \text{Forb}_{\text{ind}}(\mathcal{H})$.

Q.E.D.

Definability of hereditary and monotone classes of graphs

Proposition: Let $\mathcal{H} = \{H_i : i \in I\}$ be a family of graphs with $I = [h]$ finite. Then **both** $\text{Forb}_{\text{sub}}(\mathcal{H})$ and $\text{Forb}_{\text{ind}}(\mathcal{H})$ are **definable in FOL**.

In fact they are definable by a **universal sentence** of FOL, i.e.

a sentence of the form

$$\forall \bar{x} B(\bar{x})$$

where $B(\bar{x})$ is quantifier-free.

Proof: We just have to say that for every $i \in [h]$ the graph does not have H_i as a subgraph (induced subgraph). Assume H_i has order n_i .

We write formulas for the monotone case.

The hereditary case is similar.

Proof

We say that for every $i \in [h]$ the graph G does not have H_i as a subgraph (induced subgraph). Assume H_i has order n_i .

We already know how to say this for fixed H_i

$$\bigwedge_{i \in I} \left(\neg \exists v_1^i, \dots, v_{n_i}^i \left(\bigwedge_{(j,k) \in E(H_i)} E(v_j^i, v_k^i) \right) \right)$$

Using the rules of FOL, this is equivalent to

$$\bigwedge_{i \leq h} \left(\forall v_1^i, \dots, v_{n_i}^i \neg \left(\bigwedge_{(j,k) \in E(H_i)} E(v_j^i, v_k^i) \right) \right)$$

We **separate the variables** for the different H_i .

This gives us

$$\forall v_1^1, \dots, v_{n_1}^1, v_1^2, \dots, v_{n_2}^2, \dots, v_1^h, \dots, v_{n_h}^h \bigwedge_{i \leq h} \left(\bigvee_{(j,k) \in E(H_i)} \neg E(v_j^i, v_k^i) \right)$$

Q.E.D.

Properties of universal (existential) FOL-formulas, I

- A formula ϕ of FOL is an **universal** formula if it is of the form

$$\forall \bar{x} B(\bar{x})$$

where $B(\bar{x})$ is quantifier-free.

- A formula ψ of FOL is an **existential** formula if it is of the form

$$\exists \bar{x} B(\bar{x})$$

where $B(\bar{x})$ is quantifier-free.

- The negation $\neg\phi$ of a universal formula is an existential formula.
- The negation $\neg\psi$ of an existential formula is a universal formula.

Properties of universal (existential) FOL-formulas, II

Proposition: Let H be an **induced subgraph** of G . Let ϕ (ψ) be a universal (existential) formula of FOL for graphs. with free variables $\bar{x}$.

Let $z(\bar{x})$ be an assignment of elements of $V(H)$ to the variables $\bar{x}$.

- If $G, z(\bar{x}) \models \phi$ then $H, z(\bar{x}) \models \phi$.
- If $H, z(\bar{x}) \models \psi$ then $G, z(\bar{x}) \models \psi$.

Proof: Let ϕ be of the form $\forall \bar{v} B_1(\bar{v}, \bar{x})$, and

Let ψ be of the form $\exists \bar{v} B_2(\bar{v}, \bar{x})$.

We use induction over the structure of B_1 respectively B_2 .

Q.E.D.

Note: The Proposition is **not** true if we replace **induced subgraph** by **subgraph**!

Find a counterexample!

Properties of universal (existential) FOL-formulas, III

Proposition:

- Let ψ be of the form $\exists \bar{v} B_2(\bar{v}, \bar{x})$ with n_v bound and n_x free variables. Assume further that ψ is satisfiable. Then there is a graph G of order $n_v + n_x$ where ψ holds.
- Let ϕ be of the form $\forall \bar{v} B_1(\bar{v}, \bar{x})$. Assume further that ψ is satisfied in a graph G . Then ψ holds in every induced subgraph of G .

Proof: Use induction over B_2 and the fact that B_2 is quantifierfree. Q.E.D.

Note: This and the previous Propositions hold for FOL-formulas in any vocabulary, when carefully formulated.

Definability of hereditary classes, I

Let $\mathcal{P}$ be a graph property and $\bar{\mathcal{P}}$ its complement.

The complement of a hereditary property is called **co-hereditary**.

Let H be an induced subgraph of a graph G .

- By definition of $\mathcal{P}$ being hereditary we have: If $G \in \mathcal{P}$ then also $H \in \mathcal{P}$.
- Conversely, if $H \in \bar{\mathcal{P}}$, then $G \in \bar{\mathcal{P}}$.

A graph $G \in \bar{\mathcal{P}}$ is minimal, there is no proper induced subgraph H of G which is also in $\bar{\mathcal{P}}$.

Denote by $\text{Min}(\bar{\mathcal{P}})$ the class of minimal graphs in $\bar{\mathcal{P}}$.

- From our characterization of $\mathcal{P}$ with forbidden induced subgraphs we get:
 $G \in \mathcal{P}$ iff it has **no** graph $H \in \text{Min}(\bar{\mathcal{P}})$ as an induced subgraph.
Hence:
 $G \in \bar{\mathcal{P}}$ iff it has a graph $H \in \text{Min}(\bar{\mathcal{P}})$ as an induced subgraph.

Definability of hereditary classes, II

Theorem:(N. Alechina and Y. Gurevich, 1997)

The following are equivalent:

- (i) $\mathcal{P}$ is hereditary and $\mathcal{P} = \text{Forb}_{ind}(\mathcal{H})$ with $\mathcal{H}$ finite.
- (ii) $\mathcal{P}$ is definable by a universal formula of FOL.

Proof

(i) implies (ii): This has been shown previously.

(ii) implies (i):

- Assume $\mathcal{P}$ is definable by a universal formula of FOL. Therefore, $\mathcal{P}$ is hereditary and $\bar{\mathcal{P}}$ is co-hereditary, and $\bar{\mathcal{P}}$ is definable by an existential formula with, say, n bound and free variables.
- Therefore, every minimal graph in $\bar{\mathcal{P}}$ is of order at most n .
- There are only finitely many graphs of order at most n .
- We have shown that $\bar{\mathcal{P}}$, has only a finite number of minimal graphs.

Q.E.D.

Project 1: More on definability of hereditary and monotone graph properties

In the paper:

Syntax vs. Semantics on Finite Structures

Natasha Alechina and Yuri Gurevich

In: Structures in Logic and Computer Science, A Selection of Essays in Honor of Andrzej Ehrenfeucht. 1997. 14-33.

the authors show:

- There is a hereditary property of finite relational structures, which is definable by a formula in FOL, but is **not definable by a universal formula**.

Can we make this work for graphs or hypergraphs?

- Many more definability theorems for properties of relational structures closed under homomorphisms etc.

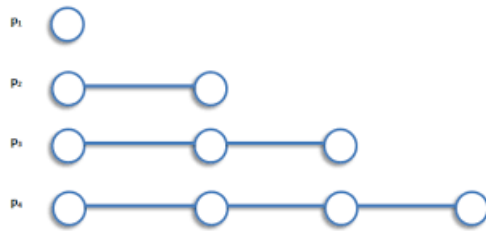
Can we characterize the definability for monotone graph properties?

How to prove definability in SOL, MSOL and FOL?

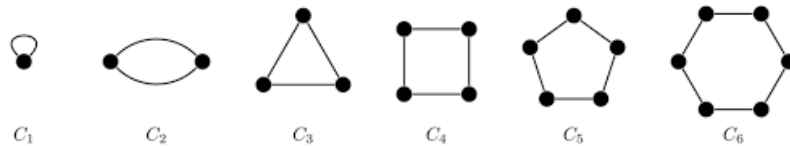
So far we have looked at properties of
abstract (directed) graphs and hypergraphs.

- Formulate the property using set theoretic language of finite sets over the set of vertices and edges and their incidence relation.
- Try to mimick this formulation in SOL.
- If you succeed, try to do it in MSOL or even FOL.

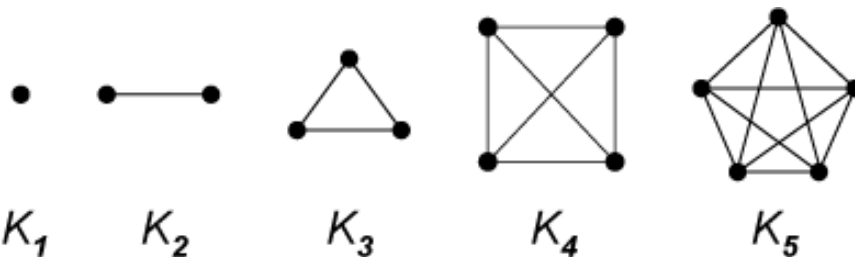
Some graph families, I



P_n , Paths with n vertices

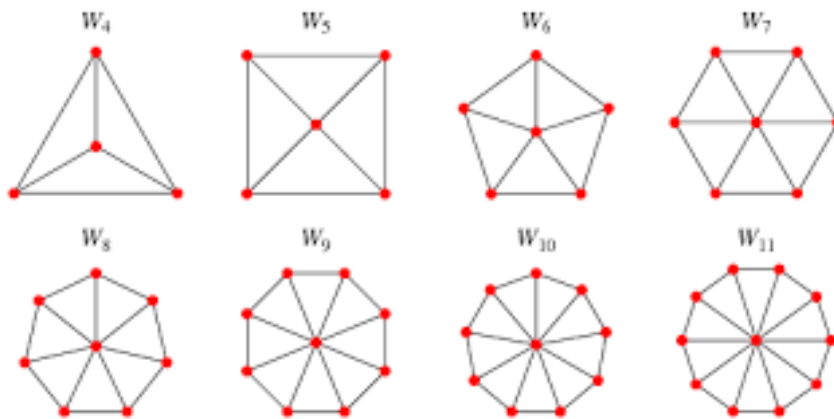


C_n , Cycles with n vertices

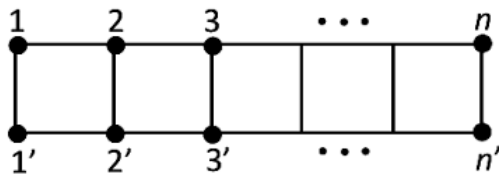


K_n , Cliques (complete graphs) with n vertices

Some graph families, II

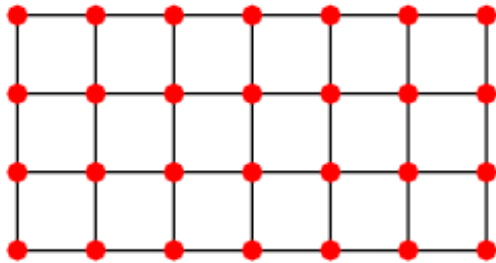


W_n , Wheels with n vertices



L_n , Ladder with $2n$ vertices

Some graph families, III



$n \times m$ -grid, here $m = 4, n = 7$

S_1



S_2



S_3



S_4



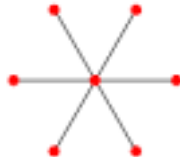
S_5



S_6



S_7



S_8



Star with n vertices

Test your fluency in SOL! (Homework)

Express the following properties in FOL, if possible.

- A graph G is a **cograph** if and only if there is no induced subgraph of G isomorphic to a P_4 .
- A G is **P_4 -sparse** if no set of 5 vertices induces more than one P_4 in G .
- **Triangle-free** graphs: There is no induced K_3 .
- Existence of prescribed (induced) subgraph H .
- **H -free** graphs: non-existence of prescribed (induced) subgraph H .
- Let $\mathcal{P}$ be a graph property.
 $\mathcal{P}$ -free graphs: non-existence of an induced subgraph $H \in \mathcal{P}$.

Planar graphs and minors

Topological properties of graphs (from Wikipedia)

[http://en.wikipedia.org/wiki/Genus_\(mathematics\)](http://en.wikipedia.org/wiki/Genus_(mathematics))

So far our graph properties were formulated in the language of graphs, involving as basic concepts only **vertices**, **edges** and their **incidence relations**.

Topological graph theory studies the **embedding of graphs** in **surfaces**, **spatial embeddings of graphs**, and graphs as **topological spaces**.

- A graph is **planar** if it is isomorphic to a plane graph.
- The **genus of a graph** is the minimal integer n such that the graph can be drawn without crossing itself on a sphere with n handles (i.e. an oriented surface of genus n).

Thus, a planar graph has genus 0, because it can be drawn on a sphere without self-crossing.



genus: 0, 1, 2, 3

Planar graphs, I

A graph is **planar** iff it is isomorphic to a plane graph.

This definition involves the **geometry of the Euclidean plane**.

How can we express planarity
without geometry ?

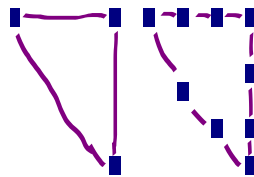


Kuratowski's Theorem

Kazimierz Kuratowski (1896-1980)

http://en.wikipedia.org/wiki/Kuratowski's_theorem

A **subdivision** of a graph G is a graph formed by subdividing its edges into paths of one or more edges.



K_3 and a subdivision of K_3

Theorem: A finite graph G is planar if and only if it does not contain a subgraph that is isomorphic to a subdivision of K_5 or $K_{3,3}$.

Planar graphs, II

Theorem: Planarity is definable in MSOL.

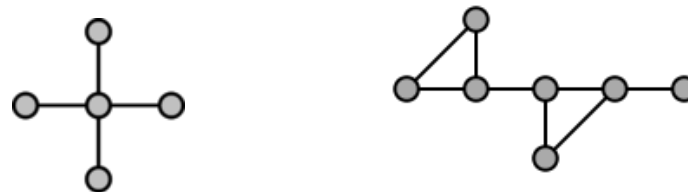
- We use Kuratowski's Theorem.
- For a fixed graph H , G is a subdivision of H , is definable in MSOL.
- For a graph property $\mathcal{P}$ definable in MSOL, G has a subgraph $H \in \mathcal{P}$, is definable in MSOL.

Exercise: Prove the last two statements.

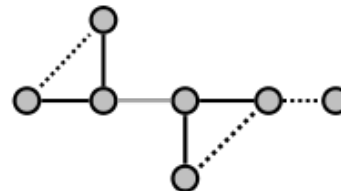
Graph minors, I

http://en.wikipedia.org/wiki/Graph_minor

An undirected graph H is called a **minor** of the graph G if H can be formed from G by **deleting edges** and **vertices** and by **contracting edges**.



H is a minor of G .



First construct a subgraph of G by **deleting** the dashed edges (and the resulting isolated vertex), and then **contract** the thin edge (**merging** the two vertices it connects).

Graph minors, II

Proposition: For fixed H the statement H is a minor of G is definable in MSOL.

- An edge contraction is an operation which removes an edge from a graph while simultaneously merging the two vertices it used to connect.
- An undirected graph H is a minor of another undirected graph G if a graph isomorphic to H can be obtained from G by contracting some edges, deleting some edges, and deleting some isolated vertices.
- The order in which a sequence of such contractions and deletions is performed on G does not affect the resulting graph H .
- Let $V(H) = \{v_1, \dots, v_m\}$. We have to find $V_1, \dots, V_m \subseteq V(G)$ which we all contract to a vertex u_i corresponding to v_i such that V_i connects to V_j iff $(v_i, v_j) \in E(H)$.
- The vertices in $V(G) - \bigcup_i^m V_i$ are discarded.

Minor closed graph classes

- H is a **topological minor** of G if G has a subgraph which is isomorphic to a subdivision of H .
- A graph property $\mathcal{P}$ is closed under (topological) minors, if whenever $G \in \mathcal{P}$ and H is a (topological) minor of G then also $H \in \mathcal{P}$.

Examples:

- Trees are not closed under minors, but forests are.
- Graphs of degree at most 2 are minor closed, but graphs of degree at most 3 are not.
- Planar graphs are both closed under minors and topological minors.

Forbidden minors, I

Let $\mathcal{H} = \{H_i : i \in I\}$ be a family of graphs.

- We denote by $\text{Forb}_{\min}(\mathcal{H})$ ($\text{Forb}_{t\min}(\mathcal{H})$) the class of graphs G which have no (topological) minors isomorphic to some graph $H \in \mathcal{H}$.
- $\text{Forb}_{\min}(\mathcal{H})$ is closed under topological minors, is monotone and hence, hereditary.

Theorem:

Let $\mathcal{P}$ be a graph property closed under (topological) minors. Then there exists a family $\mathcal{H} = \{H_i : i \in I\}$ of finite graphs such that $\mathcal{P} = \text{Forb}_{\min}(\mathcal{H})$ (respectively $\mathcal{P} = \text{Forb}_{t\min}(\mathcal{H})$).

Proof: (**Exercise**)

Proceed like in the proof for hereditary graph properties.

Q.E.D.

Definability of minors

Proposition: Let $\mathcal{H} = \{H_i : i \in I\}$ be a family of graphs with $I = [n]$ finite. Then both $\text{Forb}_{\min}(\mathcal{H})$ and $\text{Forb}_{t\min}(\mathcal{H})$ are definable in MSOL.

We have shown already:

Lemma:

There is an MSOL formula $\phi_{\min}(H)$ which is true of G iff G has a minor isomorphic to H .

Proof of the Proposition: Let $\mathcal{H} = \{H_1, \dots, H_n\}$.

Now the formula is

$$\bigwedge_i^n \neg \phi_{\min}(H_i)$$

Q.E.D.

The Graph Minor Theorem, 1983-2004

aka Robertson-Seymour Theorem
(formerly the Wagner conjecture, 1937)

Here is one of the deepest theorems in structural graph theory:

Theorem: Let $\mathcal{P}$ be a graph property closed under minors.

Then $\mathcal{P} = \text{Forb}_{\min}(\mathcal{H})$ with $\mathcal{H}$ **finite**.

Corollary: Every graph property $\mathcal{P}$ property closed under minors is **definable in MSOL**.



K. Wagner



N. Robertson



P. Seymour

Wagner's Theorem and Hadwiger's Conjecture

Theorem: A graph G is planar iff K_5 and $K_{3,3}$ are not minors of G .

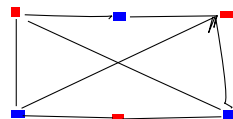
- This gives **another proof** that planarity is MSOL-definable.

Conjecture: If a graph G is not k -colorable then it has the complete graph K_{k+1} as a minor.

The conjecture was proven for $k \leq 6$.

The converse is not true.

There are bipartite graphs with a K_4 minor.



The complexity of minor checking.

Problem: *H*-minor containment**Given:** A graph H of order h **Input:** A graph G of order n **Question:** Is H a minor of G ?**Theorem:**(Robertson and Seymour, 1995)

H -minor containment can be solved in time $f(h)n^3$ for some very fast growing function f . In other words, H -minor containment can be solved in polynomial time for fixed H .

Theorem: If H and G are part of the input, the problem is **NP**-complete.

The complexity of planarity

Problem: Planarity

Input: A graph G of order n

Question: Is G planar?

Theorem:(J. Hopcroft and R. Tarjan (1974); S. Even and R. Tarjan (1976))
Planarity can be solved in time $O(n)$.

Open Problem:(very difficult!)

Can H -minor containment can be solved in time $f(h)n$?

END of Session 2
