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## Infinite abelian groups



For infinite abelian groups  $G = \langle A, +, 0 \rangle$  $\mathbf{P}_G \neq \mathbf{NP}_G$ 

Mihai Prunescu (\* 1967)

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## Infinite abelian groups

After Prunescu, JSL 2002

**Theorem 1 (Bourgarde; Hemmerling and Gassner; Prunescu)** Let  $G = (A, +_A, 0_A)$  be an infinite abelian group. Then  $P_G \neq NP_G$ .

This generalizes K. Meer's Theorem  $P_{lin} \neq NP_{lin}$  for the additive group of  $\mathbb{R}$  stated in Lecture 1.

For the ordered abelian group of the reals  $\mathbb{R}_{ovs}$ , considered as an ordered vector space (ovs) over  $\mathbb{R}$  we have

**Theorem 2 (H. Fournier and P. Koiran 2001)**   $P_{ovs} = NP_{ovs} \text{ iff } P/poly = NP/poly,$ resp.  $P_{ovs}^0 = NP_{ovs}^0 \text{ iff } P = NP$ , for the parameter-free case.

We shall read Prunescu's proof carefully to see why

it does not work in the ordered case.

#### The Nullsack problem

We call the problem  $NS_G \subseteq G^{\infty}$  below the **Nullsack** problem:

$$NS_G = \{(x_1, \dots, x_n) : n \in \mathbb{N} \text{ and } \exists J \neq \emptyset, J \subseteq [n] \text{ with } \sum_{j \in J} x_j = 0\}$$

- $NS_G \in \mathbf{NP}_G$  with boolean guesses and parameter-free.
- $NS_G$  is computable in exponential time deterministically.

#### Fundamental theorems on abelian groups

**AG-1:** Every finitely generated abelian group G is isomorphic to

 $\mathbb{Z}^n\oplus\mathbb{Z}_{q_1}\oplus\ldots\oplus\mathbb{Z}_{q_t}$ 

where n is its rank and  $q_s, s \leq t$  are powers of primes.

**AG-2:** G is torsion-free if t = 0.

**AG-3:** F.W. Levi (1942):

An abelian group is orderable iff it is torsion-free.

AG-4: O. Hölder:

Every archimedian abelian ordered group is an ordered subgroup of the reals  $\langle \mathbb{R}, +_R, <_R, 0_R, \rangle$ .



## Prüfer's theorems on abelian groups

Ernst Paul Heinz Prüfer (1896–1934)

- **PT-1:** Every finite abelian group is isomorphic to the direct sum of cyclic gropus of prime order.
- **PT-2:** E. Prüfer: An abelian group of bounded exponent is isomorphic to a direct sum of cyclic groups.
- **PT-3:** Let G be infinite abelian group of bounded exponent. There is a prime number p, such that there infinitely many elements on G of order p.

## p-elementary abelian groups

- Let  $\mathbb{H}_p = \bigoplus_{i \in \mathbb{N}} \mathbb{Z}_p$ , where the elements are infinite sequences of elements of  $\mathbb{Z}_p$  where all but finitely many are 0.
- $\mathbb{H}_p = \bigoplus_{i \in \mathbb{N}} \mathbb{Z}_p$  is an infinite dimensional, countable vector space over the field GF(p), the Galois field of order p.
- Let  $\mathcal{H} = \{\mathbb{Z}\} \cup \{\mathbb{H}_p : p \text{ a prime}\}.$
- the groups  $\mathbb{H}_p$  are **not finitely generated**.

## Prunescu's Theorem: For G an abelian group $\mathbf{P}_G \neq \mathbf{NP}_G$ .

#### Step 1

The following was proved by K. Meer for the additive group on  $\mathbb{R}$ , and by B. Poizat for  $\mathbb{H}_2$ .

We first generalize this to groups in  $\mathcal{H}$ :

**Proposition 3 (M. Prunescu)** Let  $H \in \mathcal{H}$ . Then  $NS_H \notin \mathbf{P}_H$ , hence  $\mathbf{P}_H \neq \mathbf{NP}_H$ .

## Proof of $NS_H \not\in \mathbf{P}_H$ , I

- Let  $m, n \in \mathbb{N}$  and  $m, n \geq 1$ , and  $\overline{a} \in \{0, 1\}^n$ ,  $\overline{b}_1, \ldots, \overline{b}_m \in \mathbb{Z}^n$ .
- The system

$$\begin{aligned}
\bar{a} \cdot \bar{x} &= 0 \\
\bar{b}_1 \cdot \bar{x} \neq 0 \\
&\vdots \\
\bar{b}_m \cdot \bar{x} \neq 0
\end{aligned}$$
(1)

has infinitely many solutions in  $H^n$ , provided that no  $\overline{b}_i$  is a multiple of  $\overline{a}$ , and in case that  $H = \mathbb{H}_p$ , no inequation reduces to  $0 \neq 0$  modulo p.

## Proof of $NS_H \not\in \mathbf{P}_H$ , II

- Assume  $NS_H$  can be solved deterministically in polynomial time p(n).
- Choose n such that  $2^n 1 > p(n)$ .
- Using that the system (1) has infinitely many solutions, we construct  $Y, N \in H^n$  such that  $Y \in NS_H, N \notin NS_H$ , but both traverse the same set of < p(n) non-trivial tests negatively.
- Thus we reach a contradiction.

Q.E.D.

What happens here in the case of ordered abelian groups?

## Strategy for the proof of $NS_G \notin \mathbf{P}_G$ , for any abelian group G.

We proceed as follows:

- We look at **ultraproducts** of G.
- We show that every (non-trivial) ultrapower  $G^*$  of G is elementarily equivalent to G.

#### Lemma 4

- We show that every (non-trivial) ultrapower  $G^*$  of G contains both G and some  $H \in \mathcal{H}$ , such that  $G \cap H = \{0\}$ .
- Then we show that  $NS_{G^*} \not\in \mathbf{P}_{G^*}$ .
- Using that  $G^* \equiv G$  we conclude that  $NS_G \notin \mathbf{P}_G$ .

# Short course on ultraproducts

More details on the blackboard.

- (i) Filters and ultrafilters.
- (ii) Non-principal ultrafilters.
- (iii) Ultraproducts and ultrapowers.
- (iv) Proof of Lemma 4.

# Filters and ultrafilters

Let *I* be an infinite set.

Intuitively, a filter  $\mathcal{F}$  is a collection of large subsets of I.

A filter  $\mathcal{F}$  is a family of subsets of I such that

- 1. The empty set  $\emptyset$  is not an element of  $\mathcal{F}$ .
- 2. If A and B are subsets of I, A is a subset of B, and A is an element of  $\mathcal{F}$ , then B is also an element of  $\mathcal{F}$ .
- 3. If A and B are elements of I, then so is the intersection of A and B.

 $\mathcal{F}$  is an ultrafilter if additionally

4. If A is a subset of I, then either A or I - A is an element of  $\mathcal{F}$ .

Properties 1 and 3 imply that A and I - A cannot both be elements of  $\mathcal{F}$ . File:e-abelian

# Examples of filters and ultrafilters, I.

Let  $I = \mathbb{N}$ .

- Let  $A \subseteq \mathbb{N}$ .  $\mathcal{F}_A = \{B \subseteq \mathbb{N} : A \subseteq B\}$ .  $\mathcal{F}_A$  is an ultrafilter.
- A filter  $\mathcal{F}$  on a set I is principal if it is of the form  $\mathcal{F}_A = \{B \subseteq \mathbb{N} : A \subseteq B\}$ . for some subset  $A \subseteq I$ .

Every principal filter is an ultrafilter.

\$\mathcal{F}\_1\$ be the family of co-finite sets.
 \$\mathcal{F}\_1\$ is a non-principal filter but not an ultrafilter.
 Neither the set of even numbers nor the set of odd numbers is in \$\mathcal{F}\_1\$.

# Examples of filters and ultrafilters, II

Let  $I = \mathbb{R}$  and

- $\mathcal{F}_2$  be the set of uncountable sets.
- $\mathcal{F}_3$  be the set of co-countable sets.
- $\mathcal{F}_4$  be the set of dense subsets of  $\mathbb{R}$ .

Discuss the filter properties of  $\mathcal{F}_i$  for i = 2, 3, 4.

Discuss ultrafilters on finite sets *I*.

## Ultraproducts and ultrapowers

Let I be set, serving as an index set, and  $\mathcal{F}$  be a non-principal ultrafilter on I.

Let  $\mathfrak{A}_i : i \in I$  a family of  $\tau$ -structures.

- $\mathfrak{A} = \prod_{i \in I} \mathfrak{A}_i$  is the cartesian product of these structures.
- For  $\overline{a}, \overline{b}$  we define  $\overline{a} \sim_{\mathcal{F}} \overline{b}$  iff  $\{i \in I : a_i = b_i\} \in \mathcal{F}$ .
- The ultraproduct  $\prod_{i \in I} \mathfrak{A}_i / \mathcal{F}$  is the quotient structure  $\mathfrak{A} / \sim_{\mathcal{F}}$ .
- In case all the structures  $\mathfrak{A}_i$  are the same we speak of the ultrapower  $\prod_I \mathfrak{A}/\mathcal{F}$ .

## The Ultrafilter Theorem

#### Theorem 5

Every filter  $\mathcal{F}$  over a set I is contained in some ultrafilter  $\mathcal{U}$  over I.

**Proof:** We use the well-ordering theorem, that every set can be well-ordered. Let  $U_{\alpha} : \alpha < \beta$  be a well-ordering of the powerset of I. We put  $\mathcal{F}_0 = \mathcal{F}$ . For each  $0 < \alpha < \beta$  we check whether  $U_{\alpha} \in \mathcal{F}_{\alpha}$  or  $I - U_{\alpha} \in \mathcal{F}_{\alpha}$ . If yes, we put  $\mathcal{F}_{\alpha^+} = \mathcal{F}_{\alpha}$ .

If no, we put  $\mathcal{F}_{\alpha^+} = \mathcal{F}_{\alpha}[U_{\alpha}]$ , which is the smallest filter containing  $\mathcal{F}_{\alpha}$  and  $U_{\alpha}$ . If  $\delta < \beta$  is a limit ordinal, we put  $\mathcal{F}_{\delta} = \bigcup_{\alpha < \delta} \mathcal{F}_{\alpha}$ .

It is now easy to check that  $\mathcal{U} = \mathcal{F}_{\beta}$  is an ultrafilter. Q.E.D.



Łos' Theorem

J. Łos, 1920-1998

#### **Theorem 6 (Fundamental Theorem of Ultraproducts)**

Let  $\prod_{i \in I} \mathfrak{A}_i / \mathcal{F}$  be an ultraproduct of  $\tau$ -structures  $\mathfrak{A}_i : i \in I$ , and  $\phi(x_1, \ldots, x_n) \in \mathsf{FOL}(\tau)$  be a first order formula. Let  $\overline{a}_j : j \in [n]$ .

 $\prod_{i\in I}\mathfrak{A}_i/\mathcal{F}\models\phi(\bar{a}_1,\ldots,\bar{a}_n)$ 

iff

$$\{i \in I : \mathfrak{A}_i \models \phi(a_{i,1}, \dots, a_{i,n})\} \in \mathcal{F}$$

The proof is by induction.

## What we need for Prunescu's Theorem

Let  $\mathfrak{A}$  be a au-structure.

• Then  $\mathfrak{A} \equiv \prod_{I} \mathfrak{A}/\mathcal{F}$ .

In particular, this holds for  $\mathfrak{A} = G$ , an abelian group.

Let G be an abelian group, and  $G^* = \prod_I \mathfrak{A}/\mathcal{F}$  an ultrapower of G.

• Then  $G^*$  contains a group G' which is an isomorphic copy of G.

We map  $a \in G$  into the constant sequence  $a_i = a$ .

• Then  $G^*$  contains an isomorphic copy of H for some  $H \in \mathcal{H}$  with  $H \cap G = \{0\}$ .

Let  $\overline{a}$  consist of infinitely many different coordinates  $a_i$ . If the  $a_i$ 's have unbounded order, we take the H to be the subgroup of  $G^*$  generated by  $\overline{a}$ , which is isomorphic to  $\mathbb{Z}$  and 0 is the only element also in G'.

If all the  $a_i$ 's have order bounded by m, we use Prüfer's Theorem.

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#### Outline of the ESSLLI-course given by

J.A. Makowsky (Haifa) and K. Meer (Cottbus)

LECTURE 1 (JAM): Introduction INTRO (5 slides) Turing machines over relational structures, NEWBSS, (19 slides) Short quantifier elimination. SHORTQE (16 slides)

**LECTURE 2 (JAM):** Introduction to quantifier elimination QE (26 slides) Fields, rings and other structures TABLE (incomplete, 20 slides)

LECTURE 3 (JAM): Computing with the reals: Removing order or multiplication; Adding Fortran-libraries. FORTRAN (24 slides) Comparing Poizat's Theorem with descriptive complexity FAGIN (20 slides)

**LECTURE 4 (KM):** Inside  $NP_{\mathbb{R}}$  and analogues to Ladner's Theorem, Meer-1 (149 slides)

**LECTURE 5 (KM):** PCP-Theorem over  $\mathbb{R}$ , Meer-2 (139 slides)

**ADDITIONAL MATERIAL** see next slide.

#### Additional material for the ESSLLI-course

**LECTURE 6 (JAM):** Quantifier elimination in algebraically closed fields, ACF-0 (21 slides) By JAM after Kreisel and Krivine.

**LECTURE 7 (JAM):**  $P_G \neq NP_G$  for all abelian groups. ABELIAN (18 slides) By JAM After M. Prunescu

**LECTURE 8 (JAM):**  $P_G \neq NP_G$  for all boolean algebras. BOOLEAN (23 slides) By I. Bentov after M. Prunescu

**LECTURE 9 (JAM):**  $P_G \neq NP_G$  for all real matrix rings. MATRIX (70 slides) By N. Labai after A. Rybalov