

## Logics, a reminder

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We define logics.

- Vocabularies: The [basic relations](#)
- Structures: [Interpretations of vocabularies](#)
- Variables: Individual variables, relation variables, function variables
- Atomic formulas
- Boolean closures
- Quantifications

## Vocabularies

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A **vocabulary** is a (finite) set of **basic symbols**.

We deal with (possibly **many-sorted**) relational vocabularies.  
The basic symbols are **sorts symbols** and **relation symbols**.

**Sort symbols:**  $U_\alpha : \alpha \in \mathbb{IN}$

**Relation symbols:**  $R_{i,\alpha} : i \in Ar, \alpha \in \mathbb{IN}$  where  $Ar$  is a set of **arities**, i.e. of finite sequences of sort symbols.

**Constant symbols:**  $c_{\alpha,\beta}$  for  $\alpha, \beta \in \mathbb{IN}$ , where  $\alpha$  indicates the sort number.

In the case of one-sorted vocabularies, the arity is just of the form  $\underbrace{\langle U, U, \dots, U \rangle}_n$  which will be denoted by  $n$ .

Vocabularies are denoted by greek letters  $\tau, \sigma, \tau_i, \sigma_i$  with  $i \in \mathbb{IN}$ .

## $\tau$ -structures, I

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$\tau$ -structures are [interpretations of vocabularies](#).

More precisely, a  $\tau$ -structure is a function assigning subsets of cartesian products of a fixed set  $A$  to each symbol.

$$\mathfrak{A} : \tau \rightarrow A \cup \bigcup_{n=1}^{\infty} \wp(A^n)$$

with the following restrictions:

- $\mathfrak{A}(U_\alpha) = A_\alpha \subseteq A$
- $\mathfrak{A}(U_\alpha) \cap \mathfrak{A}(U_\beta) = \emptyset$  for  $\alpha \neq \beta$
- If  $i = \langle U_{\alpha_1}, \dots, U_{\alpha_k} \rangle$  is the arity of  $R_{i,\alpha}$  then  $\mathfrak{A}(R_{i,\alpha}) \subseteq A_{\alpha_1} \times \dots \times A_{\alpha_k}$
- $\mathfrak{A}(c_{\alpha,\beta}) \in A_\alpha$ .

## $\tau$ -structures, II: Graphs and hypergraphs

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**Graphs and digraphs:**  $\tau_{graph} = \{U_1, R_{2,1}\}$ .

The elements of the set  $\mathfrak{A}(U_1) = V$  are called **vertices**. The subset  $\mathfrak{A}(R_{2,1}) = E \subseteq V^2$  is called the **(directed) edge relation**.

If  $E$  is symmetric, the  $\tau$ -structures is an **undirected graph**, otherwise it is a **directed graph (aka digraph)**.

If  $(u, u) \in E$  the vertex  $u$  has a **loop**.

**Hypergraphs:**  $\tau_{hgraph} = \{U_1, U_2, R_{\langle 1,2 \rangle, 1}\}$

The elements of the set  $\mathfrak{A}(U_1) = V$  are called **vertices**.

The elements of the set  $\mathfrak{A}(U_2) = E$  are called **edges**.

The subset  $\mathfrak{A}(R_{\langle 1,2 \rangle, 1}) \subseteq V \times E$  is called the **undirected incidence relation**.

**Directed hypergraphs:**  $\tau_{hgraph} = \{U_1, U_2, R_{\langle 1,2,1 \rangle, 1}\}$

The elements of the set  $\mathfrak{A}(U_1) = V$  are called **vertices**.

The elements of the set  $\mathfrak{A}(U_2) = E$  are called **edges**.

The subset  $\mathfrak{A}(R_{\langle 1,2,1 \rangle, 1}) \subseteq V \times E \times V$  is called the **directed incidence relation**.

## $\tau$ -structures, III: Labeled graphs and words

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**Vertex labeled Graphs:** Graphs with  $\ell$ -many **vertex labels**,  $\ell \in \mathbb{IN}$ :

$$\tau_{lgraph} = \{U_1, R_{2,1}, P_1, \dots, P_\ell\},$$

like graphs but with unary predicates  $P_i$  for **vertex labels**.

**Edge labeled Graphs:** Graphs with  $\ell$ -many **edge labels**,  $\ell \in \mathbb{IN}$ :

$$\tau_{lgraph} = \{U_1, R_{2,i}\} \text{ with } i = 1, \dots, \ell,$$

like graphs but with  $\ell$ -many edge relations for **edge labels**.

**Words in  $\Sigma^*$ :** Let  $\Sigma$  be a finite alphabet (set).

$$\tau_{word} = \{U_1, R_{2,1}, R_{1,a}\}, a \in \Sigma, \text{ where}$$

$\mathfrak{A}(R_{2,1})$  is a **linear order**, and

$$\mathfrak{A}(R_{1,a}) \cap \mathfrak{A}(R_{1,b}) = \emptyset \text{ for } a, b \in \Sigma, a \neq b, \text{ and } \bigcap_{a \in \Sigma} \mathfrak{A}(R_{1,a}) = \mathfrak{A}(U_1).$$

$\tau_{word}$ -structures satisfying these conditions are **words in  $\Sigma^*$** .

## Empty structures

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In **logic** and **universal algebra** a  $\tau$ -structure  $\mathfrak{A}$  is **non-empty**, i.e., for at least on sort symbol  $U_\alpha \in \tau$  the set  $\mathfrak{A}(U_\alpha) \neq \emptyset$ .

**We allow empty structures!**

The reason for not allowing empty structures is the axiomatization of First Order Logic FOL. The axiom

$$\forall x P(x) \Rightarrow \exists x P(x)$$

only holds in **non-empty blue-sorted**  $\tau$ -structures.

## Making structures one-sorted

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We can always make  $\tau$ -structures into **one-sorted**  $\tau'$ -structures:

- We replace the sorts  $U_\alpha \in \tau$  by one sort  $V \in \tau'$ .
- We add for each sort  $U_\alpha \in \tau$  a unary relation symbol  $P_\alpha \in \tau'$ .
- We replace each  $R_{(\alpha_1, \dots, \alpha_m), i} \in \tau$  by  $R_{m, i} \in \tau'$ . Constant symbols remain the same.

We then make a  $\tau$ -structure  $\mathfrak{A}$  into a  $\tau'$ -structure  $\mathfrak{A}'$  by setting

- $\mathfrak{A}'(V) = \bigcup_{U_\alpha \in \tau} \mathfrak{A}(U_\alpha)$ , and
- $\mathfrak{A}'(P_\alpha) = \mathfrak{A}(U_\alpha)$
- $\mathfrak{A}'(R_{(\alpha_1, \dots, \alpha_m), i}) = \mathfrak{A}'(R_{m, i})$

## Isomorphisms and homomorphisms of $\tau$ -structures

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Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be two  $\tau$ -structures on sets

$A = \bigcup_{\alpha, U_\alpha \in \tau} \mathfrak{A}(U_\alpha$  and  $B = \bigcup_{\alpha, U_\alpha \in \tau} \mathfrak{B}(U_\alpha$  respectively.

Let  $f : A \rightarrow B$  a function.  $f$  is a  $\tau$ -homomorphism if

- For all  $U_\alpha \in \tau$  we have:  
 $a \in \mathfrak{A}(U_\alpha)$  iff  $f(a) \in \mathfrak{B}(U_\alpha)$ .
- For all  $R_{(\alpha_1, \dots, \alpha_m), i} \in \tau$  we have:  
 $(a_1, \dots, a_m) \in \mathfrak{A}(R_{(\alpha_1, \dots, \alpha_m), i})$  iff  $(f(a_1), \dots, f(a_m)) \in \mathfrak{B}(R_{(\alpha_1, \dots, \alpha_m), i})$ .
- For all  $c_\alpha \in \tau$  we have:  
 $f(\mathfrak{A}(c_\alpha)) = \mathfrak{B}(c_\alpha)$ .

$f$  is a  $\tau$ -isomorphism if additionally  $f$  is one-one and onto.

$\mathfrak{A}$  and  $\mathfrak{B}$  are  $\tau$ -isomorphic if there is a  $\tau$ -isomorphism  $f : A \rightarrow B$ .

## $\tau$ -substructures

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Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be two  $\tau$ -structures on sets  $A = \bigcup_{\alpha, U_\alpha \in \tau} \mathfrak{A}(U_\alpha)$  and  $B = \bigcup_{\alpha, U_\alpha \in \tau} \mathfrak{B}(U_\alpha)$  respectively.

$\mathfrak{A}$  is isomorphic to a **substructure** of  $\mathfrak{B}$  if there is a function  $f : A \rightarrow B$  such that:

- $f$  is one-one.
- For all  $U_\alpha \in \tau$  we have:  
If  $a \in \mathfrak{A}(U_\alpha)$  then  $f(a) \in \mathfrak{B}(U_\alpha)$ .
- For all  $R_{(\alpha_1, \dots, \alpha_m), i} \in \tau$  we have:  
If  $(a_1, \dots, a_m) \in A^m$  then  
 $(a_1, \dots, a_m) \in \mathfrak{A}(R_{(\alpha_1, \dots, \alpha_m), i})$  iff  $(f(a_1), \dots, f(a_m)) \in \mathfrak{B}(R_{(\alpha_1, \dots, \alpha_m), i})$ .
- For all  $c_\alpha \in \tau$  we have:  
 $f(\mathfrak{A}(c_\alpha)) = \mathfrak{B}(c_\alpha)$ .

If  $f$  is the identity, we say  $\mathfrak{A}$  is a **substructure** of  $\mathfrak{B}$ .

## Subgraphs and induced subgraphs

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In graph theory an undirected graph  $G$  **without multiple edges** is given by two sets  $V(G)$  and  $E(G)$  with  $E(G) \subseteq V(G)^{(2)}$ .

Let  $G, H$  be two graphs.

**Subgraph:**  $H$  is a **subgraph** of  $G$  if  $V(H) \subseteq V(G)$  and  $E(H) \subseteq V(H)^2 \cap E(G)$ .

This corresponds to the notion of **substructure** for graphs viewed as **hypergraphs**. i.e.,  $\tau$ -structures for  $\tau = \tau_{hgraph}$

**Induced subgraph:**  $H$  is an **induced subgraph** of  $G$  if  $V(H) \subseteq V(G)$  and  $E(H) = V(H)^{(2)} \cap E(G)$ .

This corresponds to the notion of **substructure** for graphs viewed as **graphs**, i.e.,  $\tau$ -structures for  $\tau = \tau_{graph}$

**Isomorphisms:**  $H$  and  $G$  are isomorphic as  $\tau_{graph}$ -structures iff they are isomorphic as  $\tau_{hgraph}$ -structures.

## Properties of a $\tau$ -structure

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A **property** of  $\tau$ -structures is a class  $\mathcal{P}$  of  $\tau$ -structures **closed under  $\tau$ -isomorphisms**.

### Examples:

- All *finite*  $\tau$ -structures.
- All  $\{R_{2,0}\}$ -structures where  $R_{2,0}$  is interpreted as a linear order.
- All finite 3-dimensional matchings  $3DM$ , i.e. all  $\{R_{3,0}\}$ -structures with universe  $A$  where the interpretation of  $R_{3,0}$  contains a subset  $M \subseteq A^3$  such that no two triples of  $M$  agree in any coordinate.
- All binary words which are palindroms.

We say a  $\tau$ -structure  $\mathcal{A}$  **has property  $\mathcal{P}$**  iff  $\mathcal{A} \in \mathcal{P}$ .

## First Order Logic FOL

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We now assume our vocabularies are **one-sorted** with sort symbol  $V$ .

We define the set of formulas  $\text{FOL}(\tau)$ :

**Variables:**  $u, v, w, \dots$  ranging over elements of the interpretation of  $V$ .

**Terms:** Variables and constant symbols in  $\tau$  are  $\tau$ -terms.

**Atomic formulas:** For each  $R_{m,j} \in \tau$  and  $\tau$ -terms  $t_1, \dots, t_m$  the expressions  $R_{m,j}(t_1, \dots, t_m)$ ,  $t_1 = t_2$  are atomic formulas in  $\text{FOL}(\tau)$ .

**Boolean connectives:** If  $\phi$  and  $\psi$  are in  $\text{FOL}(\tau)$ , so are  $\phi \wedge \psi$ ,  $\phi \vee \psi$ ,  $\phi \Rightarrow \psi$  and  $\neg\phi$ .

**Quantifiers:** If  $\phi$  is in  $\text{FOL}(\tau)$  and  $v$  is a variable, then  $\exists v\phi$  and  $\forall v\phi$  are in  $\text{FOL}(\tau)$ .

## Second Order Logic SOL

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We now define  $\text{SOL}(\tau)$ , the set of SOL-formulas for a vocabulary  $\tau$ :

**FOL** :  $\text{FOL}(\tau) \subseteq \text{SOL}(\tau)$  and  $\text{SOL}(\tau)$  is closed under boolean connectives and first order quantification.

**Second order variables:** For each  $m, j \in \mathbb{N} - \{0\}$  we have second order variables  $X_{m,j}$  of arity  $m$ .  
For each  $X_{m,j}$  a second order variable, and  $\tau$ -terms  $t_1, \dots, t_m$  the expression  $X_{m,j}(t_1, \dots, t_m)$ , is an atomic formulas in  $\text{SOL}(\tau)$ .

**Second order quantification:** If  $\phi \in \text{SOL}(\tau)$  so are  $\forall X_{m,j} \phi$  and  $\exists X_{m,j} \phi$ .

**Monadic Second Order formulas  $\text{MSOL}(\tau)$**  are those where for the arity  $m$  of the second order variables we have  $m = 1$ .

Analogously,  $\text{SOL}^n(\tau)$  is obtained by restricting the arity  $m$  of the second order variables to  $m \leq n$ .

## Outline of the course

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**LECTURE 00:** Second Order Logic (SOL) and its fragments (Background, not lectured)  
LOGICS (14 slides)

**LECTURE 01:** Classical graph properties and graph parameters and their definability in  
SOL (4 hours) G-PARAMETERS, (60 slides)

**LECTURE 02:** One, two, many graph polynomials (4 hours) LANDSCAPE, (ca. 50 slides)

**LECTURE 03:** The characteristic and the matching polynomial (4 hours) MATCHING, (54  
slides)

**LECTURE XX:** Graph polynomials in Physics and Chemistry (2 hours) CHEMISTRY, (38  
slides)